

17364 U.S. PTO  
041304

ATTORNEY'S DOCKET NO.  
020431.1363

PATENT APPLICATION

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APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)

**UTILITY PATENT APPLICATION TRANSMITTAL FORM**

Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
Box 1450  
Alexandria, Virginia 22313-1450

16834 U.S. PTO  
10/823056  
041304

Sir:

Transmitted herewith for filing is the utility patent application of:

**Inventor(s) or Application Identifier:**

**Richard W. Brown et al.**

**Entitled: Demand Breakout for a Supply Chain**

***APPLICATION ELEMENTS:***

1. ☒ This Fee Transmittal Form
2. Applicant is:  
☒ Large Entity  
☐ Small Entity  
☐ Small Entity Statement enclosed  
☐ Small Entity Statement filed in prior application.  
Status still proper and desired.
3. ☒ Specification (40 Total Pages)
4. ☒ Drawings (8 Total Sheets)  
☒ Formal  
☐ Informal
5. ☒ Combined Declaration and Power of Attorney  
(4 Total Pages)  
☐ Newly executed (original or copy)  
☒ Copy from a prior application  
(for continuation/divisional only)

6. The accompanying application is:

- ☐ Original
- ☐ Continuation
- ☒ Divisional
- ☐ Continuation-In-Part (CIP)

**Prior Application No.: 09/895,487**

**Filed: June 29, 2001**

**Examiner: Andrew J. Rudy**

**Group/Art Unit: 3627**

which is hereby incorporated by reference therein.

(For Continuation or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5 above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.)

7. ☐ Priority of foreign Application No. \_\_\_\_\_, filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.

☐ A certified copy is attached.

☐ A certified copy was filed in prior Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

8. ☒ The prior application is assigned of record to i2 Technologies, Inc., a Delaware corporation, at reel 012133, frame 0691, and recorded on August 28, 2001, and assigned of record from i2 Technologies, Inc. to i2 Technologies US, Inc., a Nevada corporation, at reel 012368, frame 0128, in the U.S. Patent and Trademark Office records, and was recorded on December 10, 2001.

**FEE CALCULATION:**

*CLAIMS AS FILED IN THE APPLICATION, LESS ANY CLAIMS CANCELLED  
BY PRELIMINARY AMENDMENT OR ADDED BY PRELIMINARY AMENDMENT*

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$770.00
Total Claims:	24	-20 =	4	X \$18 =	\$ 72.00
Independent Claims	4	- 3 =	1	X \$86 =	\$ 86.00
Total of Above					\$928.00
Less 50% for Small Entity					00
TOTAL FILING FEE =					\$928.00

**ACCOMPANYING APPLICATION PARTS:**

9. ☐ An Assignment of the invention to \_\_\_\_\_ is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$\_\_\_\_ pursuant to 37 C.F.R. § 1.21(h).

10. ☒ Information Disclosure Statement (IDS) PTO-1449  
☒ Copies of IDS Citations.  
☐ Pursuant to 37 C.F.R. § 1.98(d), copies of these references are not being furnished.

11. ☐ Preliminary Amendment

12. ☒ Certificate of Mailing

13. ☒ Return Receipt Postcard

14. ☐ Nonpublication Request

15. ☐ Other \_\_\_\_\_

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PATENT APPLICATION

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16. [X] A check in the amount of \$928.00 to satisfy filing fee requirements under 37 C.F.R. § 1.16. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



Christopher W. Kennerly  
Reg. No. 40,675

Date: 4/13/04

Correspondence Address:

Customer Number

**05073**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard W. Brown, et al.  
Date Filed: April 13, 2004  
Title: Demand Breakout for a Supply Chain

**Mail Stop Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (40 pages), Formal Drawings (8 Sheets), Declaration and Power of Attorney (copy) (4 pages), Fee Transmittal Sheet (4 pages), Information Disclosure Statement (1 page), PTO-1449 Form (1 page), Reference (1 document) this Certificate of Mailing, a Baker Botts return receipt postcard (1 card), and a check for \$928.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 13th day of April, 2004 and are addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Willie Jiles

Express Mail Receipt  
No. EV 324645794 US  
Attorney's Docket: 020431.1363